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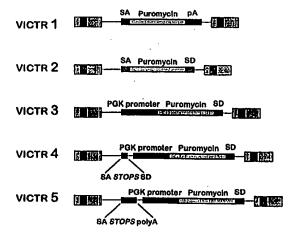
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(54) Title: AN INDEXED LIBRARY OF CELLS CONTAINING GENOMIC MODIFICATIONS AND METHODS OF MAKING AND UTILIZING THE SAME



(57) Abstract

Methods and vectors (both DNA and retroviral) are provided for the construction of a Library of mutated cells. The Library will preferably contain mutations in essentially all genes present in the genome of the cells. The nature of the Library and the vectors allow for methods of screening for mutations in specific genes, and for gathering nucleotide sequence data from each mutated gene to provide a database of tagged gene sequences. Such a database provides a means to access the individual mutant cell clones contained in the Library. The invention includes the described Library, methods of making the same, and vectors used to construct the Library. Methods are also provided for accessing individual parts of the Library either by sequence or by pooling and screening. The invention also provides for the generation of non-human transgenic animals which are mutant for specific genes as isolated and generated from the cells of the Library.

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AN INDEXED LIBRARY OF CELLS CONTAINING GENOMIC MODIFICATIONS AND METHODS OF MAKING AND UTILIZING THE SAME

The present application claims priority to U.S.

5 Applications Ser. Nos. 08/726,867, filed October 4, 1996, 08/728,963, filed October 11, 1996, and 08/907,598, filed August 8, 1997, the disclosures of which are herein incorporated by reference.

1.0. FIELD OF THE INVENTION

The invention relates to an indexed library of genetically altered cells and methods of organizing the cells into an easily manipulated and characterized Library. The invention also relates to methods of making the library, vectors for making insertion mutations in genes, methods of gathering sequence information from each member clone of the Library, and methods of isolating a particular clone of interest from the Library.

20 2.0. BACKGROUND OF THE INVENTION

The general technologies of targeting mutations into the genome of cells, and the process of generating mouse lines from genetically altered embryonic stem (ES) cells with specific genetic lesions are well known (Bradley, 1991, Cur. Opin. Biotech. 2:823-829). A random method of generating genetic lesions in cells (called gene, or promoter, trapping) has been developed in parallel with the targeted methods of genetic mutation (Allen et al., 1988 Nature 333(6176):852-855; Brenner et al., 1989, Proc. Natl. Acad. Sci. U.S.A. 86(14):5517-5521; Chang et al., 1993, Virology 193(2):737-747; Friedrich and Soriano, 1993, Insertional mutagenesis by retroviruses and promoter traps in embryonic stem cells, p. 681-701. In Methods Enzymol., vol. 225., P. M. Wassarman and M. L. DePamphilis (ed.), Academic Press, Inc., San Diego; Friedrich and Soriano, 1991, Genes Dev. 5(9):1513-1523; Gossler et al., 1989, Science 244(4903):463-465; Kerr et al., 1989, Cold Spring Harb. Symp. Quant. Biol. 2:767-776; Reddy

et al., 1991, J Virol. 65(3):1507-1515; Reddy et al., 1992,

Proc. Natl. Acad. Sci. U.S.A. 89(15):6721-6725; Skarnes et al., 1992, Genes Dev. 6(6):903-918; von Melchner and Ruley, 1989, J. Virol. 63(8):3227-3233; Yoshida et al., 1995, Transgen. Res. 4:277-287). Gene trapping provides a means to 5 create a collection of random mutations by inserting fragments of DNA into transcribed genes. transcribed genes are selected over the background of total insertions since the mutagenic DNA encodes an antibiotic resistance gene or some other selectable marker. 10 selectable marker lacks its own promoter and enhancer and must be expressed by the endogenous sequences that flank the marker after it has integrated. Using this approach, transcription of the selectable marker is activated and the cell gene is concurrently mutated. This type of strict 15 selection makes it possible to easily isolate thousands of ES cell colonies, each with a unique mutagenic insertion.

Collecting mutants on a large-scale has been a powerful genetic technique commonly used for organisms which are more amenable to such analysis than mammals. These organisms,

- 20 such as Drosophila melanogastor, yeast Saccharomyces cerevisiae, and plants such as Arabadopsis thalia are small, have short generation times and small genomes (Bellen et al., 1989, Genes Dev. 3(9):1288-1300; Bier et al., 1989, Genes Dev. 3(9):1273-1287; Hope, 1991, Develop. 113(2):399-408.
- 25 These features allow an investigator to rear many thousands or millions of different mutant strains without requiring unmanageable resources. However, these type of organisms have only limited value in the study of biology relevant to human physiology and health. It is therefore important to
- 30 have the power of large-scale genetic analysis available for the study of a mammalian species that can aid in the study of human disease. Given that the entire human genome is presently being sequenced, the comprehensive genetic analysis of a related mammalian species will provide a means to
- 35 determine the function of genes cloned from the human genome. At present, rodents, and particularly mice, provide the best model for genetic manipulation and analysis of mammalian

physiology.

Gene trapping has been used as an analytical tool to identify genes and regulatory regions in a variety of animal cell types. One system that has proved particularly useful 5 is based on the use of ROSA (reverse orientation splice acceptor) retroviral vectors (Friedrich and Soriano, 1991 and 1993).

The ROSA system can generate mutations that result in a detectable homozygous phenotype with a high frequency. About 50% of all the insertions caused embryonic lethality. The specifically mutated genes may easily be cloned since the gene trapping event produces a fusion transcript. This fusion transcript has trapped exon sequences appended to the sequences of the selectable marker allowing the latter to be used as a tag in polymerase chain reaction (PCR)-based protocols, or by simple cDNA cloning. Examples of genes isolated by these methods include a transcription factor related to human TEF-1 (transcription enhancer factor-1) which is required in the development of the heart (Chen et al., 1994, Genes Devel. 8:2293-2301. Another (spock), is distantly related to yeast genes encoding secretion proteins and is important during gastrulation.

The above experiments have established that the ROSA system is an effective analytical tool for genetic analysis

25 in mammals. However, the structure of many ROSA vectors selects for the "trapping" of 5' exons which, in many cases, do not encode proteins. Such a result is adequate where one wishes to identify and eventually clone control (i.e., promoter or enhancer) sequences, but is not optimal where the 30 generation of insertion-inactivated null mutations is desired, and relevant coding sequence is needed. Thus, the construction of large-scale mutant (preferably null mutant) libraries requires the use of vectors that have been designed to select for insertion events that have occurred within the 35 coding region of the mutated genes as well as vectors that are not limited to detecting insertions into expressed genes.

3.0. SUMMARY OF THE INVENTION

An object of the present invention is to provide a set of genetically altered cells (the 'Library'). The genetic alterations are of sufficient randomness and frequency such 5 that the combined population of cells in the Library represent mutations in essentially every gene found in the cell's genome. The Library is used as a source for obtaining specifically mutated cells, cell lines derived from the individually mutated cells, and cells for use in the 10 production of transgenic non-human animals.

A further object is to provide the vectors, both DNA and retroviral based, that may be used to generate the Library. Typically, at least two distinct vector designs will be used in order to mutate genes that are actively expressed in the 15 target cell, and genes that are not expressed in the target cell. Combining the mutant cells obtained using both types of vectors best ensures that the Library provides a comprehensive set of gene mutations.

A particularly useful vector class contemplated by the 20 present invention includes a vector for inserting foreign exons into animal cell transcripts that comprises a selectable marker, a promoter element operatively positioned 5' to the selectable marker, a splice donor site operatively positioned 3' to the selectable marker, and a second

- 25 mutagenic foreign polynucleotide sequence located upstream from the promoter element that disrupts, or otherwise "poisons", the splicing or read-through expression of the endogenous cellular transcript. Typically, the mutagenic foreign polynucleotide sequence may incorporate a
- 30 polyadenylation (pA) site, a nested set of stop codons in each of the three reading frames, splice acceptor and splice donor sequences in operable combination, a mutagenic exon, or any mixture of mutagenic features that effectively prevent the expression of the cellular gene. For example, a
- 35 polyadenylation sequence may be incorporated in addition to or in lieu of the splice donor sequence. A preferred organization for the mutagenic polynucleotide sequence

comprises a polyadenylation site positioned upstream from a selectable marker which is in turn located upstream from a splice acceptor sequence. Preferably, such a vector does not comprise a transcription terminator or polyadenylation site operatively positioned relative to the coding region of the selectable marker, and shall not comprise a splice acceptor site operatively positioned between the promoter element and the initiation codon of said selectable marker.

An additional vector contemplated by the present

10 invention is designed to replace the normal 3' end of an
animal cell transcript with a foreign exon. Such a vector
shall generally be engineered to comprise a selectable
marker, a splice acceptor site operatively positioned
upstream (5') from the initiation codon of the selectable

15 marker, and a polyadenylation site operatively positioned
downstream (3') from the termination codon (3' end) of the
selectable marker. Preferably, the vector will not comprise
a promoter element operatively positioned upstream from the
coding region of the selectable marker, and will not comprise
20 a splice donor sequence operatively positioned between the 3'
end of the coding region of the selectable marker and the
polyadenylation site.

Yet another vector contemplated by the present invention is a vector designed to insert a mutagenic foreign

25 polynucleotide sequence within an animal cell transcript (i.e., the foreign polynucleotide sequence is flanked on both sides by endogenous exons). As described above, the mutagenic foreign polynucleotide sequence may be any sequence that disrupts the normal expression of the gene into which

30 the vector has integrated. Optionally, the vector may additionally incorporate a selectable marker, a splice acceptor site operatively positioned 5' to the initiation codon of the selectable marker, a splice donor site operatively positioned 3' to said selectable marker.

35 Preferably, this vector shall not comprise a polyadenylation site operatively positioned 3' to the coding region of said selectable marker, and shall not comprise a promoter element

operatively positioned 5' to the coding region of said selectable marker.

An additional embodiment of the present invention is a library of genetically altered cells that have been treated 5 to stably incorporate one or more types of the vectors The presently described library of described above. cultured animal cells may be made by a process comprising the steps of treating (i.e., infecting, transfecting, retrotransposing, or virtually any other method of 10 introducing polynucleotides into a cell) a population of cells to stably integrate a vector that mediates the splicing of a foreign exon internal to a cellular transcript, transfecting another population of cells to stably integrate a vector that mediates the splicing of a foreign exon 5' to 15 an exon of a cellular transcript, and selecting for transduced cells that express the products encoded by the foreign exons.

Alternatively, an additional embodiment of the present invention describes a mammalian cell library made by a method 20 comprising the steps of: transfecting a population of cells with a vector capable of expressing a selectable marker in the cell only after the vector inserts into the host genome; transfecting or infecting a population of cells with a vector containing a selectable marker that is substantially only 25 expressed by cellular control sequences (after the vector integrates into the host cells genome); and growing the transfected cells under conditions that select for the expression of the selectable marker.

In an additional embodiment of the present invention,
30 the two populations of transfected cells will be individually
grown under selective conditions, and the resulting mutated
population of cells collectively comprises a substantially
comprehensive library of mutated cells.

In an additional embodiment of the present invention,
35 the individual mutant cells in the library are separated and
clonally expanded. Additionally, the clonally expanded
mutant cells may then be analyzed to ascertain the DNA

sequence, or partial DNA sequence of the mutated host gene.

The presently described methods of making, organizing, and indexing libraries of mutated animal cells are also broadly applicable to virtually any eukaryotic cells that may 5 be genetically manipulated and grown in culture.

The invention provides for sequencing every gene mutated in the Library. The resulting sequence database subsequently serves as an index for the library. In essence, every cell line in the Library is individually catalogued using the 10 partial sequence information. The resulting sequence is specific for the mutated gene since the present methods are designed to obtain sequence information from exons that have been spliced to the marker sequence. Since the coverage of the mutagenesis is preferably the entire set of genes in the 15 genome, the resulting Library sequence database contains sequence from essentially every gene in the cell. From this database, a gene of interest can be identified. Once identified, the corresponding mutant cell may be withdrawn from the Library based on cross reference to the sequence 20 data.

An additional embodiment of the invention provides for methods of isolating mutations of interest from the Library. Two methods are proposed for obtaining individual mutant cell lines from the Library. The first provides a scheme where 25 clones of the cells generated using the above vectors are pooled into sets of defined size. Using the procedure described below which utilizes reverse transcription (RT) and polymerase chain reaction (PCR), a cell line with a mutation in a gene whose sequence is partly or wholly known is 30 isolated from organized sets of these pools. A few rounds of this screening procedure results in the isolation of the desired individual cell line.

A second procedure involves the sequencing of regions flanking the vector insertion sites in the various cells in 35 the library. The sequence database generated from these data effectively constitutes an index of the clones in the library that may be used to identify cells having mutations in

specific genes.

4.0. DESCRIPTION OF THE FIGURES

- Figure 1. Shows a diagrammatic representation of 5 different 5 vectors that are generally representative of the type of vectors that may be used in the present invention.
- Figure 2. Shows a general strategy for identifying "trapped" cellular sequences by PCR analysis of the cellular exons that 10 flank the foreign intron introduced by the VICTR 2 vector.
 - Figure 3 shows a PCR based strategy for identifying tagged genes by chromosomal location.
- 15 Figure 4. Is a diagrammatic representation of a strategy of identifying or indexing the specific clones in the library via PCR analysis and sequencing of mRNA samples obtained from the cells in the library.
- 20 Figure 5. Is a diagrammatic representation of a method of isolating positive clones by screening pooled mutant cell clones.
- Figure 6. Partial nucleic acid or predicted amino acid 25 sequence data from 9 clones (OST1-9) isolated using the described techniques aligned with similar sequences from previously characterized genes.
- Figure 7. Provides a diagrammatic representation of VICTRs 3 30 and 20 as well as the transcripts that result after integration into a hypothetical region of the target cell genome (i.e., "Wildtype Locus).
- Figure 8. Provides a representative list of a portion of the 35 known genes that have been identified using the disclosed methods and technology.

5.0. <u>DETAILED DESCRIPTION OF THE INVENTION</u>

The present invention describes a novel indexed library containing a substantially comprehensive set of mutations in the host cell genome, and methods of making and using the 5 same. The presently described Library comprises as a set of cell clones that each possess at least one mutation (and preferably a single mutation) caused by the insertion of DNA that is foreign to the cell. For the purposes of the present invention, "foreign" polynucleotide sequences can be any 10 sequences that are newly introduced to a cell, do not naturally occur in the cell at the engineered region of the chromosome, or occur in the cell but are not organized to provide an identical function to that provided in the engineered vector.

The particularly novel features of the Library include 15 the methods of construction, and indexing. To index the library, the mutant cells of the library are clonally expanded and each mutated gene is at least partially sequenced. The Library thus provides a novel tool for 20 assessing the specific function of a given gene. insertions cause a mutation which allow for essentially every gene represented in the Library to be studied using genetic techniques either in vitro or in vivo (via the generation of transgenic animals). For the purposes of the present 25 invention, the term "essentially every gene" shall refer to the statistical situation where there is generally at least about a 70 percent probability that the genomes of cells used to construct the library collectively contain at least one inserted vector sequence in each gene, preferably a 85 30 percent probability, and more specifically at least about a 95 percent probability as determined by a standard Poisson distribution.

Also for the purposes of the present invention the term "gene" shall refer to any and all discrete coding regions of 35 the cell's genome, as well as associated noncoding and regulatory regions. Additionally, the term operatively positioned shall refer to the control elements or genes that

are provided with the proper orientation and spacing to provide the desired or indicated functions of the control elements or genes.

For the purposes of the present invention, a gene is

5 "expressed" when a control element in the cell mediates the
production of functional or detectable levels of mRNA encoded
by the gene, or a selectable marker inserted therein. A gene
is not expressed where the control element in the cell is
absent, has been inactivated, or does not mediate the
10 production of functional or detectable levels of mRNA encoded
by the gene, or a selectable marker inserted therein.

5.1. Vectors used to build the Library

A number of investigators have developed gene trapping 15 vectors and procedures for use in mouse and other cells (Allen et al., 1988; Bellen et al., 1989, Genes Dev. 3(9):1288-1300; Bier et al., 1989, Genes Dev. 3(9):1273-1287; Bonnerot et al., 1992, J Virol. 66(8):4982-4991; Brenner et al., 1989; Chang et al., 1993; Friedrich and Soriano, 1993; 20 Friedrich and Soriano, 1991; Goff, 1987, Methods Enzymol. 152:469-481; Gossler et al.; Hope, 1991; Kerr et al., 1989; Reddy et al., 1991; Reddy et al., 1992; Skarnes et al., 1992; von Melchner and Ruley; Yoshida et al., 1995). trapping system described in the present invention is based 25 on significant improvements to the published SA (splice acceptor) DNA vectors and the ROSA (reverse orientation, splice acceptor) retroviral vectors (Chen et al., 1994; Friedrich and Soriano, 1991 and 1993). The presently described vectors also use a selectable marker called βgeo . 30 This gene encodes a protein which is a fusion between the β galactosidase and neomycin phosphotransferase proteins. presently described vectors place a splice acceptor sequence upstream from the βgeo gene and a poly-adenylation signal sequence downstream from the marker. The marker is

35 integrated after transfection by, for example, electroporation (DNA vectors), or retroviral infection, and gene trap events are selected based on resistance to G418

resulting from activation of βgeo expression by splicing from the endogenous gene into the ROSA splice acceptor. This type of integration disrupts the transcription unit and preferably results in a null mutation at the locus.

tool, the present invention contemplates gene trapping on a large scale. The vectors utilized in the present invention have been engineered to overcome the shortcomings of the early gene trap vector designs, and to facilitate procedures allowing high throughput. In addition, procedures are described that allow the rapid and facile acquisition of sequence information from each trapped cDNA which may be adapted to allow complete automation. These latter procedures are also designed for flexibility so that additional molecular information can easily be obtained subsequently. The present invention therefore incorporates gene trapping into a larger and unique tool. A specially organized set of gene trap clones that provide a novel and

powerful new tool of genetic analysis.

The presently described vectors are superficially 20 similar to the ROSA family of vectors, but constitute significant improvements and provide for additional features that are useful in the construction and indexing of the Typically, gene trapping vectors are designed to 25 detect insertions into transcribed gene regions within the genome. They generally consist of a selectable marker whose normal expression is handicapped by exclusion of some element required for proper transcription. When the vector integrates into the genome, and acquires the necessary 30 element by juxtaposition, expression of the selectable marker is activated. When such activation occurs, the cell can survive when grown in the appropriate selective medium which allows for the subsequent isolation and characterization of the trapped gene. Integration of the gene trap generally 35 causes the gene at the site of integration to be mutated.

Some gene trapping vectors have a splice acceptor preceding a selectable marker and a poly-adenylation signal

following the selectable marker, and the selectable marker gene has its own initiator ATG codon. Using this arrangement, the fusion transcripts produced after integration generally only comprise exons 5' to the insertion 5 site to the known marker sequences. Where the vector has inserted into the 5' region of the gene, it is often the case that the only exon 5' to the vector is a non-coding exon. Accordingly, the sequences obtained from such fusions do not provide the desired sequence information about the relevant 10 gene products. This is because untranslated sequences are generally less well conserved than coding sequences.

To compensate for the short-comings of earlier vectors, the vectors of the present invention have been designed so that 3' exons are appended to the fusion transcript by 15 replacing the poly-adenylation and transcription termination signals of earlier ROSA vectors with a splice donor (SD) sequence. Consequently transcription and splicing generally results in a fusion between all or most of the endogenous transcript and the selectable marker exon, for example βgeo , 20 neomycin (neo) or puromycin (puro). The exon sequences immediately 3' to the selectable marker exon may then be sequenced and used to establish a database of expressed sequence tags. The presently described procedures will typically provide approximately 200 nucleotides of sequence, 25 or more. These sequences will generally be coding and therefore informative. The prediction that the sequence obtained will be from coding region is based on two factors. First, gene trap vectors are generally found near the 5' end of the gene immediately after untranslated exons because the 30 method selects for integration events that place the initiator ATG of the selectable marker as the first encountered, and thus used, for translation. mammalian transcripts have short 5' untranslated regions (UTRs) which are typically between 50 and 150 nucleotides in 35 length.

The obtained sequence information also provides a ready source of probes that may be used to isolate the full-length

gene or cDNA from the host cell, or as heterologous probes for the isolation of homologous genes in other species.

Internal exons in mammalian transcripts are generally quite small, on the average 137 bases with few over 300 5 bases. Consequently, a large internal exon may be spliced less efficiently. Thus, the presently described vectors have been designed to sandwich relatively small selectable markers (for example: neo ,~800 bases, or a smaller drug resistance gene such as puro ,~600 bases) between the requisite splicing 10 elements to produce relatively small exons. Exons of this size are more typical of mammalian exons and do not present undue problems for the splicing machinery of the cell. a design consideration is novel to the presently disclosed gene trapping vectors. Accordingly, an additional embodiment 15 of the claimed vectors is that the respective splice acceptor and splice donor sites are engineered such that they are operatively positioned close to the ends of the selectable marker coding region (the region spanning from the initiation codon to the termination codon). Generally, the splice 20 acceptor or splice donor sequences shall appear within about 80 bases from the nearest end of the selectable marker coding region, preferably within about 50 bases from the nearest end of the coding region, more preferably within about 30 bases from the nearest end of the coding regions and specifically 25 within about 20 bases of the nearest end of the selectable marker coding region.

The new vectors are represented in retroviral form in Figure 1. They are used by infecting target cells with retroviral particles such that the proviruses shown in the 30 schematic can be found in the genome of the target. These vectors are called VICTR which is an acronym for "viral constructs for trapping".

The presently described retroviral vectors may be used in conjunction with retroviral packaging cell lines such as 35 those described in U.S. Patent No. 5,449,614 ("'614 patent") issued September 12, 1995, herein incorporated by reference. Where non-mouse animal cells are to be used as targets for

generating the described libraries, packaging cells producing retrovirus with amphotropic envelopes will generally be employed to allow infection of the host cells.

The mutagenic gene trap DNA may also be introduced into the target cell genome by various transfection techniques which are familiar to those skilled in the art such as electroporation, lipofection, calcium phosphate precipitation, infection, retrotransposition, and the like. Examples of such techniques may be found in Sambrook et al. (1989) Molecular Cloning Vols. I-III, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, and Current Protocols in Molecular Biology (1989) John Wiley & Sons, all Vols. and periodic updates thereof, herein incorporated by reference. The transfected versions of the retroviral vectors are typically plasmid DNA molecules containing DNA cassettes comprising the described features between the retroviral LTRs.

The vectors VICTR 1 and 2 (Fig. 1) are designed to trap genes that are transcribed in the target cell. To trap genes 20 that are not expressed in the target cell, gene trap vectors such as VICTR 3, 4 and 5 (described below) are provided. These vectors have been engineered to contain a promoter element capable of initiating transcription in virtually any cell type which is used to transcribe the coding sequence of 25 the selectable marker. However, in order to get proper translation of the marker product, and thus render the cell resistant to the selective antibiotic, a polyadenylation signal and a transcription termination sequence must be provided. Vectors VICTR 3 through 5 are constructed such 30 that an effective polyadenylation signal can only be provided by splicing with an externally provided downstream exon that contains a poly-adenylation site. Therefore, since the selectable marker coding region ends only in a splice donor sequence, these vectors must be integrated into a gene in 35 order to be properly expressed. In essence, these vectors append the foreign exon encoding the marker to the 5' end of an endogenous transcript. These events will tag genes and

create mutations that are used to make clones that will become part of the Library.

With the above design considerations, the VICTR series of vectors, or similarly designed and constructed vectors, 5 have the following features. VICTR 1 is a terminal exon gene trap. VICTR 1 does not contain a control region that effectively mediates the expression of the selectable marker Instead, the coding region of the selectable marker contained in VICTR 1, in this case encoding puromycin 10 resistance (but which can be any selectable marker functional in the target cell type), is preceded by a splice acceptor sequence and followed by a polyadenylation addition signal sequence. The coding region of the puro gene has an initiator ATG which is downstream and adjacent to a region of 15 sequence that is most favorable for translation initiation in eukaryotic cells - the so called Kozak consensus sequence (Kozak, 1989, J. Cell, Biol. 108(2):229-241). With a Kozak sequence and an initiator ATG, the puro gene in VICTR 1 is activated by integrating into the intron of an active gene, 20 and the resulting fusion transcript is translated beginning at the puromycin initiation (ATG/AUG) codon. However, terminal gene trap vectors need not incorporate an initiator In such cases, the gene trap event requires ATG codon. splicing and the translation of a fusion protein that is 25 functional for the selectable marker activity. The inserted puromycin coding sequence must therefore be translated in the same frame as the "trapped" gene.

The splice acceptor sequence used in VICTR 1 and other members of the VICTR series is derived from the adenovirus 30 major late transcript splice site located at the intron 1/exon 2 boundary. This sequence contains a polypyrimidine stretch preceding the AG dinucleotide which denotes the actual splice site. The presently described vectors contemplate the use of any similarly derived splice acceptor sequence. Preferably, the splice acceptor site will only rarely, if ever, be involved in alternative splicing events.

The polyadenylation signal at the end of the puro gene is derived from the bovine growth hormone gene. Any similarly derived polyadenylation signal sequence could be used if it contains the canonical AATAAA and can be demonstrated to terminate transcription and cause a polyadenylate tail to be added to the engineered coding exons.

VICTR 2 is a modification of VICTR 1 in which the polyadenylation signal sequence is removed and replaced by a 10 splice donor sequence. Like VICTR 1, VICTR 2 does not contain a control region that effectively mediates the expression of the selectable marker gene. Typically, the splice donor sequence to be employed in a VICTR series vector shall be determined by reference to established literature or 15 by experimentation to identify which sequences properly initiate splicing at the 5' end of introns in the desired target cell. The specifically exemplified sequence, AGGTAAGT, results in splicing occurring in between the two G bases. Genes trapped by VICTR 2 splice upstream exons onto 20 the puro exon and downstream exons onto the end of the puro exon. Accordingly, VICTR 2 effectively mutates gene expression by inserting a foreign exon in-between two naturally occurring exons in a given transcript. Again, the puro gene may or may not contain a consensus Kozak 25 translation initiation sequence and properly positioned ATG initiation codon. As discussed above, gene trapping by VICTR 1 and VICTR 2 requires that the mutated gene is expressed in the target cell line. By incorporating a splice donor into the VICTR traps, transcript sequences downstream 30 from the gene trap insertion can be determined. As described above, these sequences are generally more informative about the gene mutated since they are more likely to be coding This sequence information is gathered according sequences. to the procedures described below.

35 VICTR 3, VICTR 4 and VICTR 5 are gene trap vectors that do not require the cellular expression of the endogenous trapped gene. The VICTR vectors 3 through 5 all comprise a

promoter element that ensures that transcription of the selectable marker would be found in all cells that have taken up the gene trap DNA. This transcription initiates from a promoter, in this case the promoter element from the mouse 5 phosphoglycerate kinase (PGK) gene. However, since the constructs lack a polyadenylation signal there can be no proper processing of the transcript and therefore no translation. The only means to translate the selectable marker and get a resistant cell clone is by acquiring a 10 polyadenylation signal. Since polyadenylation is known to be concomitant with splicing, a splice donor is provided at the end of the selectable marker. Therefore, the only positive gene trap events using VICTR 3 through 5 will be those that integrate into a gene's intron such that the marker exon is 15 spliced to downstream exons that are properly polyadenylated. Thus genes mutated with the VICTR vectors 3 through 5 need not be expressed in the target cell, and these gene trap vectors can mutate all genes having at least one intron. The design of VICTR vectors 3 through 5 requires a promoter 20 element that will be active in the target cell type, a selectable marker and a splice donor sequence. Although a specific promoter was used in the specific embodiments, it should be understood that appropriate promoters may be selected that are known to be active in a given cell type. 25 Typically, the considerations for selecting the splice donor sequence are identical to those discussed for VICTR 2, supra. VICTR 4 differs from VICTR 3 only by the addition of a small exon upstream from the promoter element of VICTR 4. This exon is intended to stop normal splicing of the mutated 30 gene. It is possible that insertion of VICTR 3 into an

small exon upstream from the promoter element of VICTR 4.

This exon is intended to stop normal splicing of the mutated

30 gene. It is possible that insertion of VICTR 3 into an
intron might not be mutagenic if the gene can still splice
between exons, bypassing the gene trap insertion. The exon
in VICTR 4 is constructed from the adenovirus splice acceptor
described above and the synthetic splice donor also described

35 above. Stop codons are placed in all three reading frames in
the exon, which is about 100 bases long. The stops would
truncate the endogenous protein and presumably cause a

mutation.

A conceptually similar alternative design uses a terminal exon like that engineered into VICTR 5. Instead of a splice donor, a polyadenylation site is used to terminate 5 transcription and produce a truncated message. Stops in all three frames are also provided to truncate the endogenous protein as well as the resulting transcript.

VICTR 20 is a modified version of VICTR 3 that incorporates a polyadenylation site 5' to the PGK promoter, 10 the IRESβgeo sequence (i.e., foreign mutagenic polynucleotide sequence) 5' to the polyadenylation site, and a splice acceptor site 5' to the IRESβgeo coding region. VICTR 20 additionally incorporates, in operable combination, a pair of recombinase recognition sites that flank the PGKpuroSD 15 cassette.

All of the traps of the VICTR series are designed such that a fusion transcript is formed with the trapped gene. For all but VICTR 1, the fusion contains cellular exons that are located 3' to the gene trap insertion. All of the 20 flanking exons may be sequenced according to the methods described in the following section. To facilitate sequencing, specific sequences are engineered onto the ends of the selectable marker (e.g., puromycin coding region). Examples of such sequences include, but are not limited to 25 unique sequences for priming PCR, and sequences complementary to the standard M13 forward sequencing primer. Additionally, stop codons are added in all three reading frames to ensure that no anomalous fusion proteins are produced. All of the unique 3' primer sequences are followed immediately by the 30 synthetic 9 base pair splice donor sequence. This keeps the size of the exon comprising the selectable marker (puro gene) at a minimum to best ensure proper splicing, and positions the amplification and sequencing primers immediately adjacent to the flanking "trapped" exons to be sequenced as part of 35 the construction of a Library database.

When any members of the VICTR series are constructed as retroviruses, the direction of transcription of the

selectable marker is opposite to that of the direction of the normal transcription of the retrovirus. The reason for this organization is that the transcription elements such as the polyadenylation signal, the splice sites and the promoter 5 elements found in the various members of the VICTR series interfere with the proper transcription of the retroviral genome in the packaging cell line. This would eliminate or significantly reduce retroviral titers. The LTRs used in the construction of the packaging cell line are self-

10 inactivating. That is, the enhancer element is removed from the 3' U3 sequences such that the proviruses resulting from infection would not have an enhancer in either LTR. An enhancer in the provirus may otherwise affect transcription of the mutated gene or nearby genes.

Since a 'cryptic' splice donor sequence is found in the inverted LTRs, this splice donor sequence has been removed from the VICTR vectors by site specific mutagenesis. It was deemed necessary to remove this splice donor so that it would not affect the trapping splicing events.

The present disclosure also describes vectors that 20 incorporate a new way to conduct positive selection. VICTR 3 and VICTR 20 are two examples of such vectors. Both VICTR 3 and VICTR 20, contain PGKpuroSD which must splice into exons of gene that provide a polyadenylation addition sequence in 25 order to allow expression of the puromycin selectable marker When placed in a targeting vector, PGKpuroSD allows for positive selection when targeting takes place. addition to providing positive selection, targeted events among resistant colonies are easy to identify by the 3' RACE 30 protocols (see section 5.2.2., infra) used for Omnibank production. This automated process allows for the rapid identification of targeted events. It is important that unlike $SA\beta$ geo, PGKpuroSD does not require expression of the targeted gene in order to provide positive selection. 35 addition, VICTR 20 provides 2 potential positive selectable markers (puro and neo). The use of two selectable markers, when a gene is expressed, provides a means to increase the

targeting efficiency by requiring both selectable markers to function which is much more remote a possibility than having one selectable marker function unless there is a targeted event. The addition of a negative selection cassette to 5 these vectors would only increase their targeting efficiency.

An additional feature that may be incorporated into the presently described vectors includes the use of recombinase recognition sequences. Bacteriophage P1 Cre recombinase and flp recombinase from yeast plasmids are two examples of 10 site-specific DNA recombinase enzymes which cleave DNA at specific target sites (loxP sites for cre recombinase and frt sites for flp recombinase) and catalyze a ligation of this DNA to a second cleaved site. When a piece of DNA is flanked by 2 loxP or frt sites (e.g., recombinase control elements) 15 in the same orientation, the corresponding recombinase will cause the removal of the intervening DNA sequence. piece of DNA is flanked by loxP or frt sites in an indirect orientation, the corresponding recombinase will essentially activate the control elements to cause the intervening DNA to 20 be flipped into the opposite orientation. These recombinases provide powerful approaches for manipulating DNA in situ.

Recombinases have important applications for gene trapping and the production of a library of trapped genes. When constructs containing PGKpuroSD are used to trap genes, 25 the fusion transcript between puromycin and sequences of the trapped gene could result in some level of protein expression from the trapped gene if translational reinitiation occurs. Another important issue is that several reports suggest that the PGK promoter can affect the expression of nearby genes. 30 These effects may make it difficult to determine gene function after a gene trap event since one could not discern whether a given phenotype is associated with the inactivation of a gene, or the transcription of nearby genes. potential problems are solved by exploiting recombinase 35 activity. When PGKpuroSD is flanked by loxP, frt, or any other recombinase sites in the same orientation, the addition of the corresponding recombinase will result in the removal

of PGKpuroSD. In this way, effects caused by PGKpuroSD fusion transcripts, or the PGK promoter, are avoided.

Accordingly, a vector that may be particularly useful for the practice of the present invention is VICTR 20. 5 vector replaces the terminal exon of VICTR 5 with a splice acceptor located upstream from the β geo gene which can be used for both LacZ staining and antibiotic selection. fusion gene possesses its own initiator methionine and an internal ribosomal entry site (IRES) for efficient 10 translation initiation. In addition, the PGK promoter and puromycin-splice donor sequences have been flanked by lox P recombination sites. This allows for the ability to both remove and introduce sequences at the integration site and is of potential value with regard to the manipulation of regions 15 proximal to trapped target genes (Barinaga, Science 265:26-8, 1994). While this particular vector includes lox P recombination sites, the present invention is in no way limited to the use of this specific recombination site (Akagi

Another very important use of recombinases is to produce mutations that can be made tissue-specific and/or inducible. In the presently described vectors, the Saβgeo or SAIRESβgeo component provides the mutagenic function by "trapping" the normal splicing from preceding exons. If the SAβgeo is

et al., Nucleic Acids Res 25:1766-73, 1997).

25 flanked by inverted loxP, frt, or any other recombinase sites, the addition of the corresponding recombinase results in the flipping of the $SA\beta$ geo sequence so that it no longer prevents the normal splicing of the cellular gene into which it is integrated. To make a gene trap tissue-specific or

30 inducible one could produce the trap with SAβgeo in the reverse orientation and then provide recombinase activity only at the time and place where one wishes to remove the gene function. The use of tissue-specific or inducible recombinase constructs allows one to choose when and where 35 one removes, or activates, the function of the targeted gene.

One method for practicing the inducible forms of recombinase mediated gene expression involves the use of

vectors that use inducible or tissue specific promoter/operator elements to express the desired recombinase activity. The inducible expression elements are preferably operatively positioned to allow the inducible control or 5 activation of expression of the desired recombinase activity. Examples of such inducible promoters or control elements include, but are not limited to, tetracycline, metallothionine, ecdysone, and other steroid-responsive promoters, rapamycin responsive promoters, and the like (No 10 et al., Proc Natl Acad Sci USA 93:3345-51, 1996; Furth et al., Proc Natl Acad Sci USA 91:9302-6, 1994). Additional control elements that can be used include promoters requiring specific transcription factors such as viral, particularly HIV, promoters. Vectors incorporating such promoters would 15 only express recombinase activity in cells that express the necessary transcription factors.

The incorporation of recombinase sites into the gene trapping vectors highlights the value of using the described gene trap vectors to deliver specific DNA sequence elements 20 throughout the genome. Although a variety of vectors are available for placing sequences into the genome, the presently described vectors facilitate both the insertion of the specific elements, and the subsequent identification of where sequence has inserted into the cellular chromosome.

- 25 Additionally, the presently described vectors may be used to place recombinase recognition sites throughout the genome.

 The recombinase recognition sites could then be used to either remove or insert specific DNA sequences at predetermined locations.
- Moreover, the described gene trap vectors can also be used to insert regulatory elements throughout the genome.

 Recent work has identified a number of inducible or repressible systems that function in the mouse. These include the rapamycin, tetracycline, ecdysone,
- 35 glucocorticoid, and heavy metal inducible systems. These systems typically rely on placing DNA elements in or near a promoter. An inducible or repressible transcription factor

that can identify and bind to the DNA element may also be engineered into the cells. The transcription factor will specifically bind to the DNA element in either the presence or absence of a ligand that binds to the transcription factor 5 and, depending on the structure of the transcription factor, it will either induce or repress the expression of the cellular gene into which the DNA elements have been inserted. The ability to place these inducible or repressible elements throughout the genome would increase the value of the library 10 by adding the potential to regulate the expression of the trapped gene.

The vectors described also have important applications for the overexpression of genes or portions of genes to select for phenotypic effects. Currently, overexpression of 15 cDNA libraries to look for genes or parts of genes with specific functions is a common practice. One example would be to overexpress genes or portions of genes to look for expression that causes loss of contact inhibition for cell growth as determined by growth in soft agar. This would 20 allow the identification of genes or portions of genes that can act as oncogenes. Simple modifications of VICTR 20 would allow it to be used for these applications. For example, the addition of an internal ribosome entry site (IRES) 3' to the puromycin selectable marker and before the SD sequence, would 25 result in the overexpression of sequences from the trapped In addition, the IRES could be modified downstream exons. by, for example, the addition of one or two nucleotides such that there could be 3 basic vectors that would allow expression of trapped exons in all three reading frames. In 30 this way, genes could be trapped throughout the genome resulting in overexpression of genes, or portions thereof, to examine the cellular function of the trapped genes. This identification of function could be done by selecting for the function of interest (i.e., growth in soft agar could result 35 from the overexpression of potentially oncogenic genes). This technique would allow for the screening or selection of large numbers of genes, or portions thereof, by

overexpressing the genes and identifying cells displaying the phenotypes of interest. Additional assays could, for example, identify candidate tumor suppressor genes based on their ability, when overexpressed, to prevent growth in soft 5 agar.

Given the fact that expression pattern information can provide insight into the possible functions of genes mutated by the current methods, another LTR vector, VICTR 6, has been constructed in a manner similar to VICTR 5 except that the 10 terminal exon has been replaced with either a gene coding for β -galactosidase (β gal) or a fusion between β -gal and neomycin phosphotransferase (β geo), each proceeded by a splice acceptor and followed by a polyadenylation signal. Endogenous gene expression and splicing of these markers into 15 cellular transcripts and translation into fusion proteins will allow for increased mutagenicity as well as the delineation of expression through Lac Z staining.

An additional vector, VICTR 12, incorporates two separate selectable markers for the analysis of both 20 integration sites and trapped genes. One selectable marker (e.g. puro) is similar to that for VICTRs 3 through 5 in that it contains a promoter element at its 5' end and a splice donor sequence 3'. This gene cassette is located in the LTRs of the retroviral vector. The other marker (neo) also 25 contains a promoter element but has a polyadenylation signal present at the 3' end of the coding sequence and is positioned between the viral LTRs. Both selectable markers contain an initiator ATG for proper translation. of VICTR 12 allows for the assessment of absolute titer as 30 assayed by the number of colonies resistant to antibiotic selection for the constitutively expressed marker possessing a polyadenylation signal. This titer can then be compared to that observed for gene-trapping and stable expression of the resistance marker flanked at its 3' end by a splice donor. 35 These numbers are important for the calculation of gene trapping frequency in the context of both nonspecific binding by retroviral integrase and directed binding by chimeric

integrase fusions. In addition, it provides an option to focus on the actual integration sites through infection and selection for the marker containing the polyadenylation signal. This eliminates the need for the fusion protein 5 binding to occur upstream and in the proximity of the target gene. Theoretically, any transcription factor binding sites present within the genome are targets for proximal integration and subsequent antibiotic resistance. Analysis of sequences flanking the LTRs of the retroviral vector 10 should reveal canonical factor binding sites. In addition, by including the promoter/splice donor design of VICTR 3, gene-trapping abilities are retained in VICTR 12.

VICTR A is a vector which does not contain gene trapping constructs but rather a selectable marker possessing all of 15 the required entities for constitutive expression including, but not limited to, a promoter element capable of driving expression in eukaryotic cells and a polyadenylation and transcriptional terminal signal. Similar to VICTR 12, downstream gene trapping is not necessary for successful 20 selection using VICTR A. This vector is intended solely to select for successful integrations and serves as a control for the identification of transcription factor binding sites flanking the integrant as mentioned above.

Finally, VICTR B is similar to VICTR A in that it 25 comprises a constitutively expressed selectable marker, but it also contains the bacterial β -lactamase ampicillin resistance selectable marker and a ColE1 origin of replication. These entities allow for the rapid cloning of sequences flanking the long terminal repeats through 30 restriction digestion of genomic DNA from infected cells and ligation to form plasmid molecules which can be rescued by bacterial transformation, and subsequently sequenced. This vector allows for the rapid analysis of cellular sequences that contain putative binding sites for the transcription 35 factor of interest.

Other vector designs contemplated by the present invention are engineered to include an inducible regulatory

elements such as tetracycline, ecdysone, and other steroidresponsive promoters (No et al., Proc Natl Acad Sci USA
93:3345-51, 1996; Furth et al., Proc Natl Acad Sci USA
91:9302-6, 1994). These elements are operatively positioned
to allow the inducible control of expression of either the
selectable marker or endogenous genes proximal to site of
integration. Such inducibility provides a unique tool for
the regulation of target gene expression.

All of the gene trap vectors of the VICTR series, with 10 the exception of VICTRs A and B, are designed to form a fusion transcript between vector encoded sequence and the trapped target gene. All of the flanking exons may be sequenced according to the methods described in the following To facilitate sequencing, specific sequences are 15 engineered onto the ends of the selectable marker (e.g., puromycin coding region). Examples of such sequences include, but are not limited to unique sequences for priming PCR, and sequences complementary to standard M13 sequencing primers. Additionally, stop codons are added in all three 20 reading frames to ensure that no anomalous fusion proteins are produced. All of the unique 3' primer sequences are immediately followed by a synthetic 9 base pair splice donor sequence. This keeps the size of the exon comprising the selectable marker at a minimum to ensure proper splicing, and 25 positions the amplification and sequencing primers immediately adjacent to the flanking trapped exons to be sequenced as part of the generation of the collection of cells representing mutated transcription factor targets.

Since a cryptic splice donor sequence is found in the 30 inverted LTRs, this cryptic splice donor sequence has been removed from the VICTR vectors by site specific mutagenesis. It was deemed necessary to remove this splice donor so that it would not affect trapping associated splicing events.

When any members of the VICTR series are packaged into 35 infectious virus, the direction of transcription of the selectable marker is opposite to that of the direction of the normal transcription of the retrovirus. The reason for this

organization is that the regulatory elements such as the polyadenylation signal, the splice sites and the promoter elements found in the various members of the VICTR series can interfere with the transcription of the retroviral genome in 5 the packaging cell line. This potential interference may significantly reduce retroviral titers.

Although specific gene trapping vectors have been discussed at length above, the invention is by no means to be limited to such vectors. Several other types of vectors that 10 may also be used to incorporate relatively small engineered exons into a target cell transcripts include, but are not limited to, adenoviral vectors, adenoassociated virus vectors, SV40 based vectors, and papilloma virus vectors. Additionally, DNA vectors may be directly transferred into 15 the target cells using any of a variety of biochemical or physical means such as lipofection, chemical transfection, retrotransposition, electroporation, and the like.

Although, the use of specific selectable markers has been disclosed and discussed herein, the present invention is in no way limited to the specifically disclosed markers. Additional markers (and associated antibiotics) that are suitable for either positive or negative selection of eukaryotic cells are disclosed, inter alia, in Sambrook et al. (1989) Molecular Cloning Vols. I-III, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, and Current Protocols in Molecular Biology (1989) John Wiley & Sons, all Vols. and periodic updates thereof, as well as Table I of U.S. Patent No. 5,464,764 issued November 7, 1995, the entirety of which is herein incorporated by reference. Any of the disclosed markers, as well as others known in the art, may be used to practice the present invention.

5.2. The Analysis of Mutated Genes and Transcripts

The presently described invention allows for large-scale 35 genetic analysis of the genomes of any organism for which there exists cultured cell lines. The Library may be constructed from any type of cell that can be transfected by

standard techniques or infected with recombinant retroviral vectors.

Where mouse ES cells are used, then the Library becomes a genetic tool able to completely represent mutations in 5 essentially every gene of the mouse genome. Since ES cells can be injected back into a blastocyst and become incorporated into normal development and ultimately the germ line, the cells of the Library effectively represent a complete panel of mutant transgenic mouse strains (see 10 generally, U.S. Patent No. 5,464,764 issued November 7, 1995, herein incorporated by reference).

A similar methodology may be used to construct virtually any non-human transgenic animal (or animal capable of being rendered transgenic). Such nonhuman transgenic animals may include, for example, transgenic pigs, transgenic rats, transgenic rabbits, transgenic cattle, transgenic goats, and other transgenic animal species, particularly mammalian species, known in the art. Additionally, bovine, ovine, and porcine species, other members of the rodent family, e.g. 20 rat, as well as rabbit and guinea pig and non-human primates, such as chimpanzee, may be used to practice the present invention.

Transgenic animals produced using the presently described library and/or vectors are useful for the study of 25 basic biological processes and diseases including, but not limited to, aging, cancer, autoimmune disease, immune disorders, alopecia, glandular disorders, inflammatory disorders, diabetes, arthritis, high blood pressure, atherosclerosis, cardiovascular disease, pulmonary disease, 30 degenerative diseases of the neural or skeletal systems, Alzheimer's disease, Parkinson's disease, asthma, developmental disorders or abnormalities, infertility, epithelial ulcerations, and microbial pathogenesis (a relatively comprehensive review of such pathogens is 35 provided, inter alia, in Mandell et al., 1990, "Principles and Practice of Infectious Disease" 3rd. ed., Churchill Livingstone Inc., New York, N.Y. 10036, herein incorporated

by reference). As such, the described animals and cells are particularly useful for the practice of functional genomics.

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5.2.1. Constructing a Library of Individually Mutated Cell Clones

The vectors described in the previous section were used to infect (or transfect) cells in culture, for example, mouse embryonic stem (ES) cells. Gene trap insertions were initially identified by antibiotic resistance (e.g., puromycin). Individual clones (colonies) were moved from a culture dish to individual wells of a multi-welled tissue culture plate (e.g. one with 96 wells). From this platform, the clones were be duplicated for storage and subsequent analysis. Each multi-well plate of clones was then processed by molecular biological techniques described in the following section in order to derive sequence of the gene that has been mutated. This entire process is presented schematically in Figure 4 (described below).

5.2.2. Identifying and Sequencing the Tagged Genes in the Library.

The relevant nucleic acid (and derived amino acid sequence information) will largely be obtained using PCR-based techniques that rely on knowing part of the sequence of the fusion transcripts (see generally, Frohman et al., 1988, Proc. Natl. Acad. Sci. U.S.A. 85(23):8998-9000, and U.S. Patents Nos. 4,683,195 to Saiki et al., and 4,683,202 to Mullis, which are herein incorporated by reference). Typically, such sequences are encoded by the foreign exon containing the selectable marker. The procedure is represented schematically in Figure 2 (3' RACE). Although each step of the procedure may be done manually, the procedure is also designed to be carried out using robots that can deliver reagents to multi well culture plates (e.g., but not limited to, 96-well plates).

The first step generates single stranded complementary DNA which is used in the PCR amplification reaction (Figure

The RNA substrate for cDNA synthesis may either be total cellular RNA or an mRNA fraction; preferably the latter. mRNA was isolated from cells directly in the wells of the tissue culture dish. The cells were lysed and mRNA was bound 5 by the complementary binding of the poly-adenylate tail to a poly-thymidine-associated solid matrix. The bound mRNA was washed several times and the reagents for the reverse transcription (RT) reaction were added. cDNA synthesis in the RT reaction was initiated at random positions along the 10 message by the binding of a random sequence primer (RS). This RS primer has approximately 6-9 random nucleotides at the 3' end to bind sites in the mRNA to prime cDNA synthesis, and a 5' tail sequence of known composition to act as an anchor for PCR amplification in the next step. 15 therefore no specificity for the trapped message in the RT step. Alternatively, a poly-dT primer appended with the specific sequences for the PCR may be used. Synthesis of the first strand of the cDNA initiates at the end of each trapped At this point in the procedure, the bound mRNA may be 20 stored (at between about -70° C and about 4° C) and reused multiple times. Such storage is a valuable feature where one subsequently desires to analyze individual clones in more detail. The bound mRNA may also be used to clone the entire transcript using PCR-based protocols.

25 Specificity for the trapped, fusion transcript is introduced in the next step, PCR amplification. The primers for this reaction are complementary to the anchor sequence of the RS primer and to the selectable marker. Double stranded fragments between a fixed point in the selectable marker gene 30 and various points downstream in the appended transcript sequence are amplified. It is these fragments which will become the substrates for the sequencing reaction. The various end-points along the transcript sequence were determined by the binding of the random primer during the RT 35 reaction. These PCR products were diluted into the sequencing reaction mix, denatured and sequenced using a primer specific for the splice donor sequences of the gene

trap exon. Although, standard radioactively labeled nucleotides may be used in the sequencing reactions, sequences will typically be determined using standard dye terminator sequencing in conjunction with automated 5 sequencers (e.g., ABI sequencers and the like).

Several fragments of various sizes may serve as substrates for the sequencing reactions. This is not a problem since the sequencing reaction proceeds from a fixed point as defined by a specific primer sequence. 10 approximately 200 nucleotides of sequence were obtained for each trapped transcript. For the PCR fragments that are shorter than this, the sequencing reaction simply 'falls off' Sequences further 3' were then covered by the longer fragments amplified during PCR. One problem is 15 presented by the anchor sequences 'S' derived from the RS primer. When these are encountered during the sequencing of smaller fragments, they register as anomalous dye signals on the sequencing gels. To circumvent this potential problem, a restriction enzyme recognition site is included in the S 20 sequence. Digestion of the double stranded PCR products with this enzyme prior to sequencing eliminates the heterologous S sequences.

5.2.3. Identifying the Tagged Genes by Chromosomal Location

Any individually tagged gene may also be identified by PCR using chromosomal DNA as the template. To find an individual clone of interest in the Library arrayed as described above, genomic DNA is isolated from the pooled clones of ES cells as presented in Figure 3. One primer for the PCR is anchored in the gene trap vector, e.g., a puro exon-specific oligonucleotide. The other primer is located in the genomic DNA of interest. This genomic DNA primer may consist of either (1) DNA sequence that corresponds to the coding region of the gene of interest, or (2) DNA sequence from the locus of the gene of interest. In the first case, the only way that the two primers used may be juxtaposed to

give a positive PCR results (e.g., the correct size doublestranded DNA product) is if the gene trap vector has inserted into the gene of interest. Additionally, degenerate primers may be used, to identify and isolate related genes of

- 5 interest. In the second case, the only way that the two primers used may be juxtaposed to provide the desired PCR result is if the gene trap vector has inserted into the region of interest that contains the primer for the known marker.
- 10 For example, if one wishes to obtain ES cell clones from the library that contain mutated genes located in a certain chromosomal position, PCR primers are designed that correspond to the *puro* gene (the puro-anchored primer) and a primer that corresponds to a marker known to be located in
- 15 the region of interest. Several different combinations of marker primers and primers that are located in the region of interest may also be used to obtain optimum results. In this manner, the mutated genes are identified by virtue of their location relative to sets of known markers. Genes in a
- 20 particular chromosomal region of interest could therefore be identified. The marker primers could also be designed correspond to sequences of known genes in order to screen for mutations in particular genes by PCR on genomic DNA templates. While this method is likely to be less
- 25 informative than the RT-PCR strategy described below, this technique would be useful as a alternative strategy to identify mutations in known genes. In addition, primers that correspond to sequence of known genes could be used in PCR reactions with marker-specific primers in order to identify
- 30 ES cell clones that contain mutations in genes proximal to the known genes. The sensitivity of detection is adequate to find such events when positive clones are subsequently identified as described below in the RT-PCR strategy.

35 5.3. A Sequence Database Identifies Genes Mutated in the Library.

Using the procedures described above, approximately 200

to about 600 bases of sequence from the cellular exons appended to the selectable marker exon (e.g., puro exon in VICTR vectors) may be identified. These sequences provide a means to identify and catalogue the genes mutated in each 5 clone of the Library. Such a database provides both an index for the presently disclosed libraries, and a resource for discovering novel genes. Alternatively, various comparisons can be made between the Library database sequences and any other sequence database as would be familiar to those 10 practiced in the art.

The novel utility of the Library lies in the ability for a person to search the Library database for a gene of interest based upon some knowledge of the nucleic acid or amino acid sequence. Once a sequence is identified, the 15 specific clone in the Library can be accessed and used to study gene function. This is accomplished by studying the effects of the mutation both in vitro and in vivo. For example, cell culture systems and animal models (i.e., transgenic animals) may be directly generated from the cells 20 found in the Library as will be familiar to those practiced in the art.

Additionally, the sequence information may be used to generate a highly specific probe for isolating both genomic clones from existing data bases, as well as a full length 25 cDNA. Additionally, the probe may be used to isolate the homologous gene from sufficiently related species, including humans. Once isolated, the gene may be over expressed, or used to generate a targeted knock-out vector that may be used to generate cells and animals that are homozygous for the 30 mutation of interest. Such animals and cells are deemed to be particularly useful as disease models (i.e., cancer, genetic abnormalities, AIDS, etc.), for developmental study, to assay for toxin susceptibility or the efficacy of therapeutic agents, and as hosts for gene delivery and 35 therapy experiments (e.g., experiments designed to correct a specific genetic defect in vivo).

5.4. Accessing Clones in the Library by a Pooling and Screening Procedure.

An alternative method of accessing individual clones is by searching the Library database for sequences in order to sisolate a clone of interest from pools of library clones. The Library may be arrayed either as single clones, each with different insertions, or as sets of pooled clones. as many clones as will represent insertions into essentially every gene in the genome are grown in sets of a defined number. For example, 100,000 clones can be arrayed in 2,000 sets of 50 clones. This can be accomplished by titrating the number of VICTR retroviral particles added to each well of 96-well tissue culture plates. Two thousand clones will fit on approximately 20 such plates. The number of clones may be dictated by the estimated number of genes in the genome of the cells being used. For example, there are approximately 100,000 genes in the genome of mouse ES cells. Therefore, a Library of mutations in essentially every gene in the mouse genome may be arrayed onto 20 96-well plates.

To find an individual clone of interest in the Library arrayed in this manner, reverse transcription-polymerase chain reactions (RT-PCR) are performed on mRNA isolated from pooled clones as presented in Figure 4. One primer for RT-PCR is anchored in the gene trap vector, i.e. a puro exonspecific oligonucleotide. The other primer is located in the cDNA sequence of a gene of interest. The only way that these two sequences can be juxtaposed to give a positive RT-PCR result (i.e. double stranded DNA fragment visible by agarose gel electrophoresis, as will be familiar to anyone practiced in the art) is by being present in a transcript from a gene trap event occurring in the gene of interest.

For example, if one wishes to obtain an ES cell clone with a mutation in the p53 gene, PCR primers are designed that correspond to the puro and p53 genes. If a VICTR trapping vector integrates into the p53 locus and results in the formation of a fusion mRNA, this mRNA may be detected by RT-PCR using these specifically designed primer pairs. The

sensitivity of detection is adequate to find such an event when positive cells are mixed with a large background of negative cells. The individual positive clones are subsequently identified by first locating the pool of 50 5 clones in which it resides. This process is described in Figure 5. The positive pool, once identified, is subsequently plated at limiting dilution (approximately 0.3 cells/well) such that individual clones may be isolated. To find the one positive event in 50 clones represented by this 10 pool, individual clones are isolated and arrayed on a 96-well plate. By pooling in columns and rows, the positive well containing the positive clone can be identified with relatively few RT-PCR reactions.

In addition to RT-PCR, the pools may be screened by 15 hybridization techniques (see generally Sambrook et al., 1989, Molecular Cloning: H Laboratory Manual 2nd edition, Cold Spring Harbor Press, Cold Spring Harbor, and Current Protocols in Molecular Biology, 1995, Ausubel et al. eds., John Wiley and Sons). Specific PCR fragments are generated 20 from the mutated genes essentially as described above for the sequencing protocols of the individual clones (first-strand synthesis using RT primed by a random or oligo dT primer that is appended to a specific primer binding site). The gene trap DNA is amplified from the primer sets in the puro gene 25 and the specific sequences appended to the RT primer. this were done with pools, the resulting pooled set of amplified DNA fragments could be arrayed on membranes and probed by radioactive, or chemically or enzymatically labeled, hybridization probes specific for a gene of 30 interest. A positive radioactive result indicates that the gene of interest has been mutated in one of the clones of the positively-labeled pool. The individual positive clone is subsequently identified by PCR or hybridization essentially as outlined above.

35 Alternatively, a similar strategy may be used to identify the clone of interest from multiple plates, or any scheme where a two or three dimensional array (e.g., columns

and rows) of individual clones are pooled by row or by column. For example, 96 well plates of individual clones may be arranged adjacent to each other to provide a larger (or virtual/figurative) two dimensional grid (e.g., four plates 5 may be arranged to provide a net 16x24 grid), and the various rows and columns of the larger grid may be pooled to achieve substantially the same result.

Similarly, plates may simply be stacked, literally or figuratively, or arranged into a larger grid and stacked to 10 provide three dimensional arrays of individual clones. Representative pools from all three planes of the three dimensional grid may then be analyzed, and the three positive pools/planes may be aligned to identify the desired clone. For example, ten 96 well plates may be screened by pooling 15 the respective rows and columns from each plate (a total of 20 pools) as well as pooling all of the clones on each specific plate (10 additional pools). Using this method, one may effectively screen 960 clones by performing PCR on only 30 pooled samples.

The example provided below is merely illustrative of the subject invention. Given the level of skill in the art, one may be expected to modify any of the above or following disclosure to produce insubstantial differences from the specifically described features of the present invention. As such, the following example is provided solely by way of illustration and is not included for the purpose of limiting the invention in any way whatsoever.

6.0. EXAMPLES

30 6.1. Use of VICTR Series Vectors to Construct a Mouse ES cell Gene Trap Library

VICTR 3 was used to gather a set of gene trap clones. A plasmid containing the VICTR 3 cassette was constructed by conventional cloning techniques and designed to employ the features described above. Namely, the cassette contained a PGK promoter directing transcription of an exon that encodes the puro marker and ends in a canonical splice donor

sequence. At the end of the puromycin exon, sequences were added as described that allow for the annealing of two nested PCR and sequencing primers. The vector backbone was based on pBluescript KS+ from Stratagene Corporation.

The plasmid construct linearized by digestion with Sca I which cuts at a unique site in the plasmid backbone. The plasmid was then transfected into the mouse ES cell line AB2.2 by electroporation using a BioRad Genepulser apparatus. After the cells were allowed to recover, gene trap clones were selected by adding puromycin to the medium at a final concentration of 3 μ g/mL. Positive clones were allowed to grow under selection for approximately 10 days before being removed and cultured separately for storage and to determine the sequence of the disrupted gene.

Total RNA was isolated from an aliquot of cells from 15 each of 18 gene trap clones chosen for study. micrograms of this RNA was used in a first strand cDNA synthesis reaction using the "RS" primer. This primer has unique sequences (for subsequent PCR) on its 5' end and nine 20 random nucleotides or nine T (thymidine) residues on it's 3' Reaction products from the first strand synthesis were added directly to a PCR with outer primers specific for the engineered sequences of puromycin and the "RS" primer. amplification, an aliquot of reaction products were subject 25 to a second round of amplification using primers internal, or nested, relative to the first set of PCR primers. second amplification provided more reaction product for sequencing and also provided increased specificity for the specifically gene trapped DNA.

30 The products of the nested PCR were visualized by agarose gel electrophoresis, and seventeen of the eighteen clones provided at least one band that was visible on the gel with ethidium bromide staining. Most gave only a single band which is an advantage in that a single band is generally 35 easier to sequence. The PCR products were sequenced directly after excess PCR primers and nucleotides were removed by filtration in a spin column (Centricon-100, Amicon). DNA was

added directly to dye terminator sequencing reactions (purchased from ABI) using the standard M13 forward primer a region for which was built into the end of the puro exon in all of the PCR fragments. Thirteen of the seventeen clones that gave a band after the PCR provided readable sequence. The minimum number of readable nucleotides was 207 and some of the clones provided over 500 nucleotides of useful sequence.

Sample data from this set of clones is presented in

10 Figure 6. Only a portion of sequence (nucleotide or putative amino acid) for 9 Library clones obtained by the methods described in this invention are presented. Under each sequence fragment in the figure is aligned a homologous sequence that was identified using the BLAST (basic local alignment search tool) search algorithm (Altschul et al., 1990, J. Mol. Biol. 215:403-410).

In addition to known sequences, many new genes were also identified. Each of these sequences is labeled "OST" for "Omnibank Sequence Tags." OMNIBANK™ shall be the trademark 20 name for the Libraries generated using the disclosed technology.

These data demonstrate that the VICTR series vectors may efficiently trap genes, and that the procedures used to obtain sequence are reliable. With simple optimization of 25 each step, it is presently possible to mutate every gene in a given population of cells, and obtain sequence from each of these mutated genes. The sample data provided in this example represents a small fraction of an entire Library. By simply performing the same procedures on a larger scale (with 30 automation) a Library may be constructed that collectively comprises and indexes mutations in essentially every gene in the genome of the target cell.

Additional studies have used both VICTR 3 and VICTR 20.

Like VICTR 3, VICTR 20 is exemplary of a family of vectors

35 that incorporate two main functional units: a sequence acquisition component having a strong promoter element (phosphoglycerate kinase 1) active in ES cells that is fused

to the puromycin resistance gene coding sequence which lacks a polyadenylation sequence but is followed by a synthetic consensus splice donor sequence (PGKpuroSD); and 2) a mutagenic component that incorporates a splice acceptor 5 sequence fused to a selectable, colorimetric marker gene and followed by a polyadenylation sequence (for example, SAβgeopA or SAIRESβgeopA). Also like VICTR 3, stop codons have been engineered into all three reading frames in the region between the 3' end of the selectable marker and the splice 10 donor site. A diagrammatic description of structure and functions of VICTRs 3 and 20 is provided in Figure 7.

When VICTRs 3 and 20 were used in the commercial scale application of the presently disclosed invention, over 3,000 mutagenized ES cell clones were rapidly engineered and 15 obtained. Sequence analysis obtained from these clones has identified a wide variety of both previously identified and novel sequences. A representative sampling of previously known genes that were identified using the presently described methods is provided in Figure 8. The power of the 20 presently described invention as a genomics resource becomes apparent when one considers that the genes listed in Figure 8 were obtained and identified in less than a year whereas the references associated with the identification of the known genes span a period of roughly two decades. 25 importantly, the majority of the sequences thus far identified are novel, and, because of the functional aspects of the presently described ES cell system, the cellular and developmental functions of these novel sequences can be rapidly established.

30

7.0. Reference to Microorganism Deposits

The following plasmids have been deposited at the American Type Culture Collection (ATCC), Rockville, MD, USA, under the terms of the Budapest Treaty on the International 35 Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure and Regulations thereunder (Budapest Treaty) and are thus maintained and made available according

to the terms of the Budapest Treaty. Availability of such plasmids is not to be construed as a license to practice the invention in contravention of the rights granted under the authority of any government in accordance with its patent 5 laws.

The deposited cultures have been assigned the indicated ATCC deposit numbers:

	Plasmid	ATCC No.
10	plex pExonII ppuro7 ppuro5 ppuro11 ppuro10	97748 97749 97750 97751 97752 97753
	ppuroro	31133

All publications and patents mentioned in the above specification are herein incorporated by reference. Various modifications and variations of the described method and system of the invention will be apparent to those skilled in the art without departing from the scope and spirit of the invention. Although the invention has been described in connection with specific preferred embodiments, it should be understood that the invention as claimed should not be unduly limited to such specific embodiments. Indeed, various modifications of the above-described modes for carrying out the invention which are obvious to those skilled in the field of molecular biology or related fields are intended to be within the scope of the following claims.

30 . .

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MICROORGANISMS
Optional Sheet in connection with the microorganism referred to on page 40, lines 5-25 of the description
A. IDENTIFICATION OF DEPOSIT
Further deposits are identified on an additional sheet '
Name of depositary institution '
American Type Culture Collection
Address of depositary institution (including postal code and country) *
12301 Parklawn Drive Rockville, MD 20852
US
Date of deposit * October 9, 1996 Accession Number * 97748
B. ADDITIONAL INDICATIONS ' (leave blank if not applicable). This information is continued on a separate attached sheet
C. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not all designated States)
D. SEPARATE FURNISHING OF INDICATIONS (leave blank if not applicable)
The indications listed below will be submitted to the international Bureau later ' (Specify the general nature of the indications e.g., "Accession Number of Deposit")
·
E. This sheet was received with the International application when filed (to be checked by the receiving Office)
(Authorized Officer)
☐ The date of receipt (from the applicant) by the International Bureau "
was
(Authorized Officer)

Form PCT/RO/134 (January 1981)

International Application No: PCT/

Form PCT/RO/134 (cont.)

American Type Culture Collection

12301 Parklawn Drive Rockville, MD 20852 US

Accession No.	Date of Deposit
97749	October 9, 1996
97750	October 9, 1996
97751	October 9, 1996
97752	October 9, 1996
97753	October 9, 1996

CLAIMS

What is claimed is:

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- 1. A library of cultured eucaryotic cells made by a process comprising the steps of:
- a) treating a first group of cells to stably integrate a first vector that mediates the splicing of a foreign exon internal to a cellular transcript;
- b) treating a second group of cells to stably integrate a second vector that mediates the splicing of a foreign exon
 10 5' to an exon of a cellular transcript; and
 - c) selecting for transduced cells that express the products encoded by the foreign exons.
- 2. A library according to claim 1 wherein said treating 15 is transfection.
 - 3. A library according to claim 1 wherein said treating is by infection.
- 4. A library according to claim 1 wherein said treating is by retrotransposition.
 - 5. A library according to any one of claims 1 through 4 wherein said cells are animal cells.
 - 6. A library according to claim 5 wherein said animal is mammalian.
- 7. A library according to claim 6 wherein said cells 30 are rodent cells.
 - 8. The use of a mutated cell from a library according to claim 6 to generate a non-human transgenic animal.
- 9. A vector for replacing the 3' end of an animal cell transcript with a foreign exon, comprising:
 - a) a selectable marker;

b) a splice acceptor site operatively positioned 5' to the initiation codon of said selectable marker;

- c) a polyadenylation site operatively positioned 3' to said selectable marker;
- 5 d) said vector not comprising a promoter element operatively positioned 5' of the coding region of said selectable marker; and
 - e) said vector not comprising a splice donor sequence operatively positioned between the 3' end of the coding region of said selectable marker and said polyadenylation site.
- 10. A vector for inserting foreign mutagenic polynucleotide sequence internal to animal cell transcripts, 15 comprising:
 - a) a foreign exon;
 - a splice acceptor sequence operatively positioned
 to the foreign exon;
 - c) a splice donor site operatively positioned 3' to said foreign exon;
 - d) a sequence comprising a nested set of stop codons in each of the three reading frames located between the 3' end of said foreign exon and said splice donor site;
- e) said vector not comprising a polyadenylation site operatively positioned 3' to said foreign exon; and
 - f) said vector not comprising a promoter element operatively positioned 5' to the coding region of said foreign exon.

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- 11. A vector for attaching a foreign exon upstream from the 3' end of an animal cell transcript, comprising:
 - a) a selectable marker;
- b) a promoter element operatively positioned 5' to 35 said selectable marker;
 - c) a splice donor site operatively positioned 3' to said selectable marker; and

d) said vector not comprising a transcription terminator or polyadenylation site operatively positioned relative to the coding region of said selectable marker; and

- 5 e) said vector not comprising a splice acceptor site operatively positioned between said promoter element and the initiation codon of said selectable marker.
- 10 12. A vector according to claim 11 wherein said vector additionally comprises a foreign mutagenic polynucleotide sequence located upstream from said promoter.
- 13. A vector according to claim 12 wherein said vector 15 additionally comprises a splice acceptor operatively positioned upstream from said foreign mutagenic polynucleotide sequence.
- 14. A vector according to claim 13 wherein said foreign 20 mutagenic polynucleotide sequence comprises a polyadenylation site.
- 15. A vector according to claim 14, wherein said foreign mutagenic polynucleotide sequence additionally 25 comprises stop codons in all three reading frames.
- 16. A vector according to claim 12 in which a first recombinase recognition sequence is present upstream from said promoter and a second recombinase recognition sequence 30 is present downstream from said promoter.
 - 17. A vector according to any one of claims 9, 10, or 11 wherein said vector is a viral vector.
- 35 18. A vector according to claim 17 wherein said viral vector is a retroviral vector.

19. The use of a vector according to claim 9 to produce a library of mutated animal cells.

- 20. The use of a vector according to claim 10 to 5 produce mutated animal cells.
 - 21. The use of a vector according to claim 11 to produce mutated animal cells.
- 10 22. The use of a vector according to claim 11 to effect homologous recombination in an animal cell.
 - 23. A stably transduced animal cell that incorporates a vector according to claim 16.

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- 24. A method of deleting a region of vector DNA from a cell according to claim 23, comprising:
 - a) providing a recombinase activity to the cell; and
- b) selecting for cells that lack the desired region of 20 vector DNA.
 - 25. A method of adding a region of DNA to a cell according to claim 23, comprising:
 - a) introducing the DNA to be added into the cell;
- a) providing a recombinase activity to the cell; and
 - b) selecting for cells that incorporate the added DNA.
 - 26. A method of effecting the inducible expression of a desired gene, comprising:
- 30 a) providing a cell according to claim 23 with a recombinase gene that is expressed by an inducible promoter; and
 - b) inducing said inducible promoter.
- 35 27. A method of gene discovery comprising:
 - a) adding a foreign polynucleotide to a population of target cells such that the foreign

polynucleotide is inserted throughout the genomes of the target cells; and

b) activating control elements encoded by the foreign polynucleotides that activate or repress the 5 expression of target cell genes that flank the integrated foreign polynucleotides, and identifying the regions of the target cell genome into which the foreign polynucleotides have integrated.

10 28. A library of cultured animal cells that stably integrate vectors according to claims 10 or 11.

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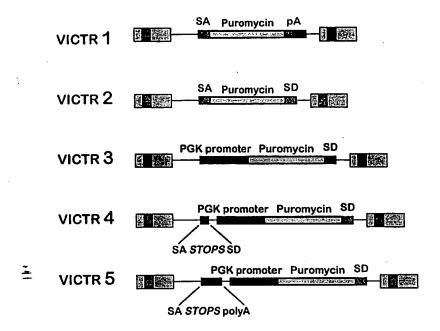


Figure 1

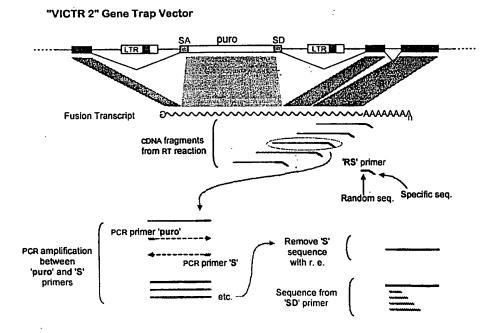


Figure 2

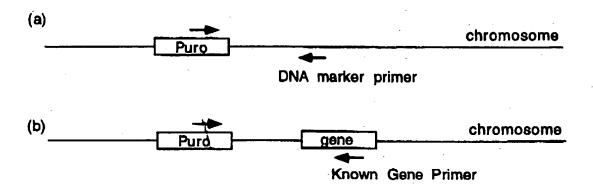


Figure 3

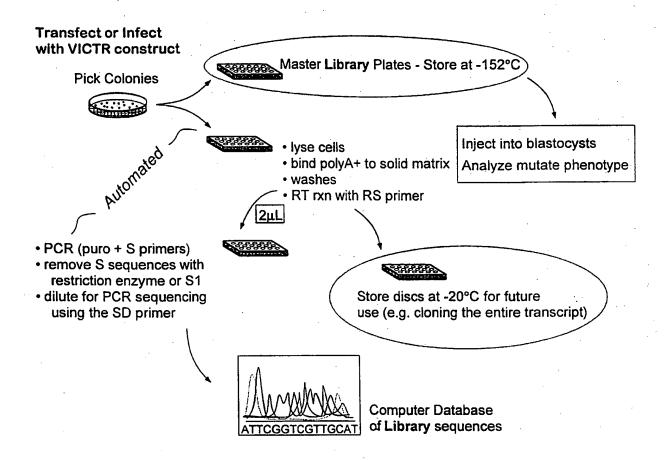
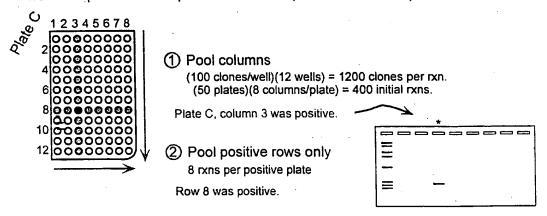


Figure 4

Identify Positive Pool

To screen all mouse genes (~100,000) with 5-fold redundancy would require about 50 plates of 96-wells (at 100 clones/well).



Identify Positive Clone

The pool on plate C, column 3, row 8 is thawed and plated as single clones:

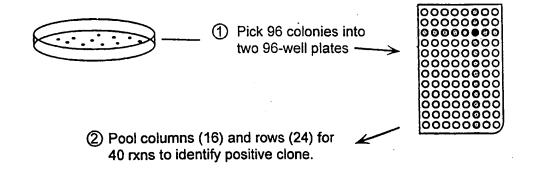


Figure 5

OST1:	248 TITATATAATATTTAATTTGTTTTACTGGGGTATATATGTGTGAAGAGGACTTCT 302
rat GABA rho3:	1547 TTTACATAATATTTAATTTGTTTTACTGGGGTATATATGTGTGAAGAGGACTTTT 1601
OST2:	56 ACCGTTGCGGAGGCTCACGTTTCTCAGATAGTACATCAGGTGTCATCGNTGTCAGAAGGT 115
mouse TCR-ATF1:	75 ACCGTTGCGGGGCCTCACGTTTCTCAGATAGTACATCAGGTGTCATCGTTATCAGAAAGT 134
OST3:	58 GIGMHAGLHERDRKTVEELFXNCKVQVLIATSTLAWGVNFPAHLVIIKGTEYYDGKTRR 237 GIG+HHAGL ++DR +LF K+Q+LIATSTLAWGVN PAHLVIIKGT+++D K
Yeast ORF G9365:	1430 GIGLHHAGLVQKDRSISHQLFQKNKIQILIATSTLAWGVNLPAHLVIIKGTQFFDAKIEG 1489
OST4: seg. from US	137 GCGCAGAAGTGGTNCTGGAANTTTNTCCGCCNCCATCCAGTCTATTAATTGTTGACNGGA 196
patent 5470724:	166 GCGCAGAAGTGGTCCTGCAACTTTATCCGCCTCCATCCAGTCTATTAATTGTTGCCGGGA 225
OST5:	108 TCWIRLGT*RXVGASLEYEYIRAS 179 TCW++L R VG +L+ +Y A+
protein precursor:	250 TCWLQLADFRKVGDALKEKYDSAA 273
OST6:	78 CTTATATGGCTACGGCGGCTTCAACATCTCCATTACACCCAACTACAGCGTGTCCAGGCT 137
endopeptidase:	1407 CTTATATGGCTATGGCGCTTCAACATATCCATCACCAACTACAGTGTTTCCAGGCT 1466
OST7: mouse	109 AAAGCATGTAGCAGTTGTAGGACACACTAGACGAGGCACCAGATCTCATTGTGGGTGG
45S pre rRNA:	1604 AAAGCATGTAGCAGTTGTAGGACACACTAGACGAGAGCACCAGATCTCATTGTGGGTGG
OST8:	161 TGGATGCAGNCTACCACTGTGTGGCTGCCCTATFTTACCTCAGTGCCTCAGTTCTGGAAG 220
rat MAL:	306 TGGATGCAGCCTACCACTGTGGCTGCCCTGTTTTACCTCAGTGCCTCAGTCCTGGAAG 365
OST9:	103 ACCTGATTGTTATCCGTGGCCTGCAGAAGTCCAGAAAATACAGACCAAAGTCAACCAGTA 162
mouse malic enzyme:	

Figure 6

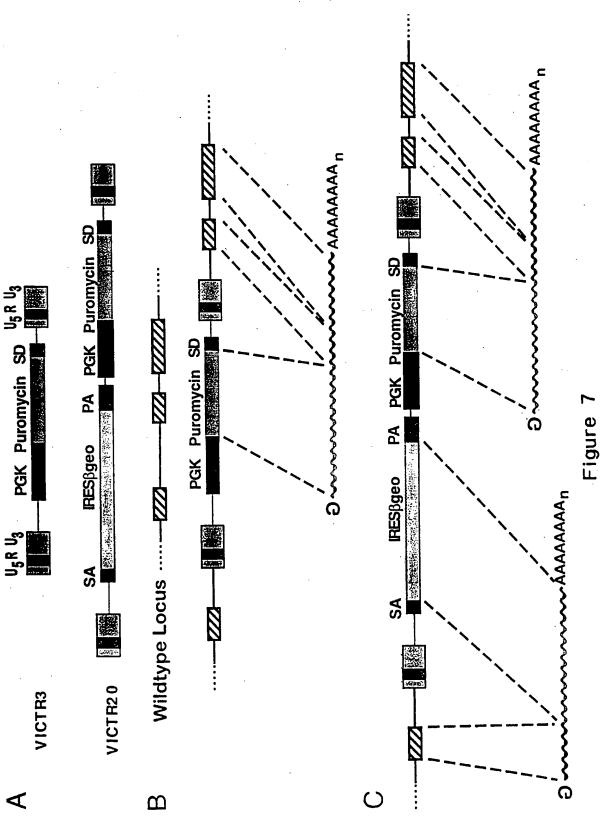


Figure 8

Secuence Duscription	Hus mugculus mad3f02.rl Sontes mouse	panellata musicolos cina cione 115187 S.	Nus musculus Mouse mkWA for retinal,	gamma-subunit (GMP-PDE) (EC 3.1.4.17)	Mus musculus Mouse mRNA	nos moscolos mos mesculos Grice mana, comolete ada	Mus musculus mj50b06.rl Soares mouse	embryo NDME13.5 14.5 Mus musculus CDNA	Mus musculus Nouse mRNA for squatene	synthuse	Mus musculus M.ausculus 1 cell receptor aloba chain variable recion	(v-alpha)	Mus musculus mouse alpha-amylase-2	yene: panciedcic mina Rattus norvegicus Rat cytochrome P450	II A3 (CYP2A3) gene, complete cds	embryo NDME13.5 14.5 Mus musculus CDNA	clone 426931 S'	Aus musculus House mouse: Musculus domesticus Postnatal (O day) Brain	mRNA for Ca2+ dependent activator	protein for secretion, complete cds Mus musculus muscall.rl Sobres mouse	lymph node Nimila Mus musculus CDNA	close 643028 5' similar to TR:C294850	Rattus norvegicus Rat TM-4 gene for	fibroblast tropomyosin 4	Mus musculus M.musculus 10k-VkZ(70/3)	Mus musculus mut6f05.rl Soares mouse	lymph node NUME.N Mus musculus cLNA close 642465 5:	Hus musculus mo56d03.rl Life Tech	mouse embryo 8 5dpc 10664019 Mus	to SW:YA36_SCHPO Q09713 HYPOTHETICAL	17.7 KD PROTEIN CIBBILLUB IN CHRI. Rattus so. EST110151 Rattus so. COMA	5' end	Nome sapions 2151607.s1 Searce	CDNA clone 505429 3' similar to	TR:G632498 G632498 CLEAVAGE	Home sapiens Human mRNA for KIAA0242	gene, partial cds Homo sopiens Human mRNA for KIAA0240	gene, pertial cds	nomo septens numen scrimann tor kwa binding protein SCR2, complete cds	Homo sapiens zucch09.rl Stratagens	clone 563201 \$'	Mus musculus mo49c06.rl Life Tech	mouse carry to July 10001014 has mouselles CDNA clone 556906 5' similar	to gb:305277 Mouse hexokinase mRNA,	Rattus norvegicus kat min fur	trocinerin 1 trocine solution 1-this	synthetase Rattum an EST106971 Nateus so CDNA	5. end similar to Synapsin I	embryo NDMEIJ.5 14.5 Mun musculus CDNA	Cione 441209 5' Nus musculus Mouse 4,55 RNA gene	Mus musculus mg74ell.rl Sonres mouse embryo NDME13.5 14.5 Mus musculus opta	rions 418764 5.
žą.	36		156		8)4	5	186		1.06		954		101	624		200	• 5 5			97.6			168		956	881		196			88	;	976			841	926			731		831			126	841	861	101	;	20	16	
pvalue	5.0c-133		2.60 41		5.9e-4B	1.00-42	1.9e-173		7.50-71		3.04-106		1.86-70	4.0e-34			37	1.36-43		2.60-37	,		7.5e-112		1.0e-126	1.76-33		1.8178			7.34-40		4.00-111			8.6e-154	2.0e-145		101-01-0	1.2e-52		4.0c-178			8.14-143	4.8u-107	4.80-38	184.0	9	1.24-91	1.50-141	
UN Accession	Ub W09445		uh Y00746		9b D88454	garazolos	UD AA048968		gb 029016		gb x53732		091001/10	061EEM d2	4000000	energove las	A LESSON LA	Api neeti e		ob[AA189233			95 J Y 00 1 69		95 272384	gb AA190122		9b AA10474S			401133806		gb AA156426			95) 087684	T 10 1 10 1 10 10 10 10 10 10 10 10 10 10	to to to the total	784870 00	90 AV114106		gb AA107843	~		00£20K]46	gb c06148	ob[B32146	Ch144004152	**********	gb H12658	gb AA058245	
Omn i Barak	05T4		osrs		05T22	05150	OST30		USTIG		octio		0Sr41	OST42		64180	19430	16 160		05756			0ST74		05175	05786		26720			92150		OST117			05T118	0ST119	100	171160	05:133		osr154			0:37178	051193	057243	976430	,		OSTZBO	
The following table includes 586 USTs. OSTs with hit into prodom and Conunnk	patented sequences have been removed as well as sequence with repetitive elements hits.																											-		-			•																			

				ras-GrPase-activating SH3-domain	027562	gb x61433	7. 6u
			2	binding protein (G18P) gane, complete	OSTSGB	gb[AA007930	1.5e
OST295	gb AA648190	1.20-60	1	Mus musculus m)2931.f1 Soarus mouce embryo Marel 15 145 Mou musculus cOMA atom 47750 6. etimilian eti atomata	1.6564.21	Aprilianisto	,
				Mouse neural specific and the control of the contro		0.711100	;
1.62,130	95/27/585	3.04-168	101	Commence of the second of the	051572	gb[AA130347	1.2e
031300	gb[H75122	1.8e-2C)	1.86	Kus musculus Mouse acid beta-	(1)	4	-
057301	gb ₩34850	2.76-97	97.6	gaiactesidase (CED-1) Vene, exos, to Mus musculus mec2000, 11 Sobreu mouse ambieco viastil 4 14 4 Min minerile offis		100111111111111111111111111111111111111	•
				clone 353067 5: similar to gb:U11248 Rus musculus C578L/63 ribasomal	054577	95 144020459	2.36
0.57.111	gb[w80427	J.0e-73	458	protein 528 mRNA, complete Nomo sapiens zd82dd6,s1 Soares fetal	057581	gb R96552	2.06
				heart NDHH19W Homo sapiens culta clone 347147 3' similar to PIR:A54766 A54766	051582	95[017695	1.96
OST314	gb[T34710	4.00-54	11.	metastasis-associated protein mea-1 Homo sapiens EST73642 Homo sapiens			
0ST 316	gb W11499	1.2e-72	166	CDNA 5' end similar to Rolle Mus musculus ma80h02.rl Suares mouse	160100	90 1.41326	9
				DIMPTPS Hus musculus CONA clone 317043 S' similar co SvidCRX_buvin PO0130 UBIQUINOL-CYTOCHROME C	6857593	05 W70777	3.4
RZ£1SO	gb w10861	3.74-59	168	REDUCTASE 7.2 KD PROTEIN Hus musculus masscoll: 1 source	057594	gb x94616	2.6e
			ë	DINALES: S mus musculus cuna cione 314596 S.	05.1595	95 067137	7.00
057331	901017698	6.86-119	£ 50	Rud musculus mus musculus abipnilin-i (abi-1) mrkh, complete cd:	#65#6*O	9056541469	,
756150	071010106			complete cds		e service	
UST356	gb M60456	1.8e-117	921	Mus musculus Mouse cyclophilin aRNA, complete cds	021600	95 070494	7.0e
UST 361	9b W77360	5.76-37	106	Mus musculus me65fll.rl Soares mouve embryo NUMFll.S 14.5 Mus musculus CUIA	OST607	9b ₩55702	1.26-
orrion	95 087642	2.90-184	11.6	His musculus House mouse; fusculus domesticus mina for 14-3-3 tau.	037613	gb[AA184B09	9.8
986450	95 X 99946	2.6e-35	854	complete cda Mus musculus M.musculus 94kh griiomic			
051389	qb/T51727	1.8e-78	168	sequence encoding Tax gene Homo sapiens yb28m11.11 Homo sapiens	OSTEIB	96 JIL 1817	1.56
OST401	96/929229	3.14-33	17.6	CONA clone 72500 5' Hus musculus mc19e08.rl Soares musculus mc19e08.rl	0234620	9b AA1192H2	. D. C.
				plantig. 5 Mus musculus cuita cione 148998 5: uimilar to SW:YEFA ECOLI			
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UST418	qb1G21163	1.74-84	951	CUNA clone 276877 5' Homo wapiens human STS WI-15024			
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		DNA-binding ability), complete cus Hus musculus Muuse F52 minn for a	howel protein Home sapiens Numan man for KIAA0045	gune, complete cos gune, macculus Mouse transcription factor 5-II, clone PSII-)	Homo sapiens zk86b04.sl Scares pregnant versua Halled Homo sapiens CDMA clone 485679 j.	Mus musculus melidello.ri Soares mouse embryo Nuwellis 145 Mus musculus CUIA	SM:RKCJ_IUMAN P2544 HING) PROTEIN (1) Hus musculus hus musculus occludin	mRNA, complete cds Mus musculus mn24g0j.rl Beddington	mouse embryonic region Mus musculus CDNA clone 518900 5' similar to gb:D00682 COFILIN (HUMAN); gb:D00472		Genomic, 2556 nt] Homo sapiens zb85e12.rl Soares senescent fibroblasts NUMSF Homo	sapiens CDNA clone 310414 5. Mus musculus me06f10.r1 Soares mouse embryo ibMe13 5.14 5. Mus musculus CDNA elone 36731 6. elities mususconta	Lione Jeersia 5 similar to Firstand A55012 signal peptidose 25k chain - dog	Nus musculus mhldalo.rl Soares mouse placenta 4RbHpll.s 14.5 Mus musculus CDRA clone 441994 5.	Nus musculus Hus musculus p6-5 gene, 3' end	Mus musculus Mouse mRNA for phosphatidylinositol glycan cluss F.	Complete cds Fus musculus mb01d09.rl Soares mouse pJAMF19.5 Mus musculus cDNA clone	118929 5. Nus musculus Mus musculus Xi entigen mens commisse of	Hus musculus medigo ri Life Tech mouse embryo 13 5dpc 10666014 Hus	ABSOLUTES CURA LONG BARBA 2 'S SIMILAGE TO USHIZZATE SIMILAGE TO USHIZZATE SUMSTATION FACTON SA HUMO MADILASM LUMS ABSOLUTES ECCEL THE NAME OF THE STATE STA	JOSS/ J. Mus musculus me31408.rl Soures mouse embryo NUME11.5 14.5 Mus musculus cunA	clone 1979/7 S. Mus musculus me79904 rl Soures mouse lymph node NUMLN Mus musculus CDNA	clone 616150 5. Mus sp. MO25 gene [mice. cmbryos,	MAIN 2322 DE MAIN MAIN TO MEDALIC METALIC METALIC MAINTENANCE MAINTENANCE MAINTENANCE MENA TOTAL METALIC METAL	Hur musculus m(SH)05.rl Soares mouse cubryo (NHS) 3 14.5 Hus musculus citifa	Hus mercilus mostatus, ri Soures mouse lymph motel Niella Hus mouchlus citifa	CIONU 642974 5' SIMILAR LO TR:RZ41948 E243948 CHROMDSOME VII READING FRANE ORF YCLOS4C.	Mus musculus mol2a08.rl Boddington mouse embryonic region Mus musculus	CDNA clone 517686 5. Mus musculus Mouse OP-1 mRIIA for
199	116	17.8	6	gene, complete cos 94% Mus musculus Mouse transcription factor S-11, clone P111-3	774 Homo sapiens the6b04.s1 Source pregional versur Allipo Homo sapiens CONA close 489679 1	921 Mus musculus md14d10.rl Soarus mouse embryo Nurkll, 5, 14,5 Mus musculus CUAA	SY: RIKE	mRNA, complete cds 69% Mus musculus mn24g0].rl Beddington	mouse embryonic region Mus musculus cona clone 518900 5's similar to gb:D00682 COFILIN (HUMAN); gb:D00472	944	Genomic, 2556 nt) 71% Homo sapiens 1985=12.rl Soares senescent (ibroblasts NibiSF Homo	166		101 Mus musculus mh10a10 r1 Soares mouse placenta ArbHP13 14.5 Mus musculus CDNA - Clone 441994 S.	166	186	Complete cds 78% Mus musculus mb01d09.rl Soarus muuse plwmF19.5 Mus musculus CDNA clone	934	98% Hus musculus mastagoz rl Life Tach mouse embryo 13 5dpc 10666014 Hus	TO STATE THE STATE OF THE STATE	881 Ans mascalus mellads.rl Conten mouse embryo NDMEI);5 14.5 Mus mascalus CURA	881 Mus musculus mc79g04 r1 Soares mouse lymph node NUMLN Mus musculus cDNA	Clone 636150 S. 77% Hus sp. MO25 gene (mice. embryos.	96% Mus musculas Mouse BRNA for hepatic	971 Nor musculus missings, 11 Soares mouse embryo linkti), 5 14.5 Nus musculus citifa	994 Nus missellus motters mouse lymph inche MARIA Nus moscilus cum.	CIONU 642874 5" SIBILAR LO TREEZADAR E243948 CHOMOSOME VII READING FRANE ORF YGLOSAC.	891 Mus musculus mollands el Boddington mouve embryonic region Mus musculus	CDNA clone 537686 5' 95% Mus musculus Mouse OP-1 mRNA for
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9.70-55		9.50-67	3.8e-51	3.8e-48	J.84-35	1.2e-67	3.50-121	1.46-103	2.8e-51	1.3e-173		1.04-135	4.76-237	9.8e-33	4.46-100	3.86-97	1.2e-108		8.0c-102 1.2c-80	8.74-81	3.94-32	1.20-88	1.6e-36	1,66-122	2.8c-143	1.10-88	6.6e-37
gb AA014426		gb b13544	gb w26968	9b M2B24B	gb T55632	gb[AA046H30	77.707W dg	gb #86008	961282 90	gh W6498G		ub 051037	gb x56135	gb(019493	CZCI PW JOB	gb w10485	gb ₩59388		9b 085430 gb AA020459	gb 244044	gb J04699	9b W23511	95 414957	Uh]N15004	gb AA0\$1293	95 038614	986790 46
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961-5%		2.1e-152	:	1.40-47	J. Je-104	1.7e-36	1.4e-109		3.1e-31	3.16-204	3.0e-35	7.6e-94		5.4e-71	4.94-171	7.Bc-71		4.6e-68	7.44-89	8.2e-119		1.96.97	1.34-131	5.2u-100	2.60-99	7.6e-51 5.2e-39	-1.64-57
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ı	5095150	0513613		0073642	0:73645	0573647	05T 1651		05F3652	UST J662	OST 1669	0571681		0513694	UST3700	0213703		OST3704	UST 3708	9171730		0213729	0513733	ect 17 JS	647.CT20	OST3759	

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OST4003	gb L37297	2.9e-121	911	cione 425700 5' Mus musculus Mus musculus (clone B6)	0574235	9b W53187	3.0e-173	sequence tag MTEST7 97% Mus musculus md19a07.rl Soares	. esnou
0574011	gb L26664	2.0e-155	944	myeloid secondary granule protein mRNA Mus musculus Mus musculus expressed				embryo NDME13.5 14.5 Mus muscul clone 368820 5' similar to WP:C	1205.9
OST4028	gb D87470	7.5e-93	924	sequence tag EST F012 Homo saplens Human mRNA for KIAA0280	OST4243	gb AA048921	2.30-40	CE01849 86% Mus musculus mj47ell.rl Soares	Bouse
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0574051	gb F03500	7.6e-63	861	SUBUNIT Homo sapiens H. sapiens partial CDNA	0ST4247	gb AA023146	1.5e-115	cDNA clone 46710]. 96%: Mus musculus mh67b03,rl Soares	asnom
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0574070	gb #36515	6.0e-135	106	148167 5. PARAFIS MAS mb76q12.rl Scares mouse paraFis Mas musculus CDMA clone	OST4251	gb[AA070774	8.7e-154 9	MEMBRANE A4 PROTEIN. [1] 98% Homo sapiens re51g11.s1 Strateg fibroblast (1917212) Homo sapie	Jene Ins CDIA
0514073	gb x82021	2.0e-105	914	Astrus norvegicus R. norvegicus anna	0ST4254	gb W54737	2.4e-82 1	10% Mus musculus md10a04.rl Soares	Bouse
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0574114	gb[W20730	6.5e-90	196	Huse westerly abbegol.rl Soares mouse planting. Thus musculus mosculus con clone	OST4281	96 016175	4.00-40 6	63% Mus musculus Mus ausculus thrombospondin 3 (Thbs3) gene, partiel	numan partial
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0574134	gb[H31489	3.0e-84	851	CLUM CIONE 400077 J. Nettus sp. EST105564 Rattus sp. CDNA	0614788	10000004	0 361-07	CDNA Clone 42918 5.	8 C C
0574140	gb w71052	3.7e-121	916	Mus musculus me27f0; rl Soares mouse embryo NDMEIL: 5 14:5 Wus musculus CDNA Clone 188729 5: similar to				embryo NEMELLI 514.5 Mus musculus CDNA clone 425602 5' slatlar to gb:X01920_rna2 M.musculus GSHPx gene	gene gene
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05T4142 0ST4144	gb C07091 gb x56135	5.7e-74 4.4e-41	891	Rattus norvegicus similar to none Mus musculus House mRNA for	OST4319	969900 96	2.06-127 958		mRNA.
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0ST4149	gb U36393	2.6e-111	196	A56059 protein-tyresins-phosphatase Hus musculus Mus musculus transcription (setor TFEB mRNA.					
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0ST4155	95 x05900	3.5e-58	851	lambda-16) for hypotherical protein A Rattus norvegicus Rat mRNA for lens					
0574166	gb U53859	8.0e-169	106	Outsi-Crystalin Phroces bi-j Rattus norvegicus Rattus norvegicus Calpsin small subunit (css!) mRMA.					
0ST4174	gb U41395	1.1e-38	:	partial commended with the partie was the war was the partie of the part					
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				embryo NUMEI15 14.5 Mus musculus cDNA clone 408452 5. similar to SW:CLYM, HUNAN P1487 SFRINE HYDROXYMETHYLTRANSFERASE.					
0514194	gb w34635	8.96-38	87.	MITOCHONDRIAL Hus masculus mclled7.rl Socres mouse blinf19.5 Mus musculus cDNA clone 150148 5:				·	

International application No. PCT/US97/17791

		<u>11</u>		
A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :C12Q 1/68; C12N 5/02, 5/06, 15/00, 15/64; C07H 21/04				
US CL: 435/6, 320.1, 325, 357; 536/23.1, 24.2; 800/2 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
	Minimum documentation searched (classification system followed by classification symbols)			
U.S. : 435/6, 320.1, 325, 357; 536/23.1, 24.2; 800/2				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS and DIALOG				
C. DOC	UMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.	
Х	SAUER, B. Site-specific recomb applications. Current Opinion in Biote pages 521-527, see the entire article.	chnology. May 1994, Vol. 5,	1-8, 10, 20 and 28	
Y	SEKINE et al. Frameshifting is rectransposase encoded by insertion seque USA. June 1989, Vol. 86, pages "Frameshifting in Other Systems", pages	nce 1. Proc. Natl. Acad. Sci. s 4609-4613, see especially	10	
X	WANG, et al. High frequency recommends human chromosomes mediated by an Cre recombinase. Somatic Cell and M. 1996, Vol. 21, No. 6, pages 429-441.	adenovirus vector expressing folecular Genetics. 09 March	8	
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X Furth	ner documents are listed in the continuation of Box (C. See patent family annex.		
"A" do	ecial categories of cited documents: cument defining the general atate of the art which is not considered be of particular relevance	"T" later document published after the inte date and not in conflict with the appl the principle or theory underlying the	ication but cited to understand.	
"E" cartier document published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is		*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone		
cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention considered to involve an inventive step when the considered to involve step when the consider		step when the document is		
"P" doo	means being obvious to a person skilled in the art document published prior to the international filing date but later than		he art	
Date of the	priority date claimed actual completion of the international search ARY 1998	Date of mailing of the international search report 0 2 MAR 1998		
Commission Box PCT	nailing address of the ISA/US ner of Patents and Trademarks	Authorized officer WILLIAM SANDALS		
Washington, D.C. 20231 Faccinate No. (703) 305-3230		Telephone No. (703) 308-0196		

International application No.
PCT/US97/17791

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
	- FFF- FFF- FFF- FFF- FFF- FFF- FFF- F	
	ODELL et al. Site-directed recombination in the genome of transgenic tobacco. Molecular and General Genetics. 11 October 1990, Vol. 223, pages 369-378, see especially Figure 1 and the "Result" section.	1-8, 10, 20
K	DYMECKI, S. A modular set of Flp, FRT and LacZ fusion vectors for manipulating genes by site-specific recombination. Gene. 01 June 1996, Vol. 171, pages 197-201, see especially Figure 1.	10
X	HAAS et al. TnMax - a versatile mini-transposon for the analysis of cloned genes and shuttle mutagenesis. Gene. 11 August 1993, Vol. 130, pages 23-31, see especially the abstract.	8
Y	WO 88/01646 (ALLELIX INC.) 10 March 1988 (10.10.88), see especially pages 1-3.	1-8, 10 and 20
		:

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Box 1 Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
Please See Extra Sheet.
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. X No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-8, 10, 20 and 28
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

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BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1.

Group I, claim(s) 1-7, 8, 10, 20 and 28, drawn to a library of cultured eucaryotic cells made by a process comprising treating a group of cells with a vector that mediates the splicing of a foreign exon internal to a cellular transcript, the use of the cell from the library to generate a non-human transgenic animal, and the method of making the cell comprising the vector and the use of the vector to make the library of cultured eukaryotic cells.

Group II, claim(s) 9, 11-18, drawn to a vector construct for replacing the 3' end of an animal cell transcript with a foreign exon.

Group III, claim(s) 19, 21 and 22, drawn to the use of a vector according to claim 9. Group IV, claim 23, drawn to a stably transduced animal cell that incorporates the vector of claim 16. Group V, claims 24-27, drawn to a method of altering a region of DNA by adding or deleting DNA.

The inventions listed as Groups I-V do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the first group contains the product, a library of cultured eukaryotic cell, a method of using the cells to produce a non-human transgenic animal and a method of making the cells. The additional groups are directed to different vectors having different compositions than the vector used in the first group, cell lines containing those vector constructs and methods of altering the cellular genome. The first group contains a vector having a different composition than the other vectors and therefore the special technical feature present in the first group does not occur in the other groups.